

Appendix 1 - Audit Plan Monitoring 2022/23  
 Progress as at February 2023

	Service	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
21/22 Council housebuilding	Housing & Property	5	Final report	■	■	■	substantial	0	0	0
21/22 Section 106 Agreements	Planning Services	5	Final report	■	■	■	substantial	0	1	1
21/22 KFC Treasury Management	Corporate Services	5	Final report	■	■	■	moderate	0	1	1
IT Major Incident Management Strategy	Corporate Services	5	Final report	■	■	■	moderate	0	3	2
Cyber security	Corporate Services	10	Final report	■	■	■	limited	0	10	0
KFC Debtors	Customer Services	15	Draft report	■	■					
Safes (new audit)	Corporate Services	10	Draft report	■	■					
21/22 Qualis Management	Housing & Property	5	Draft report	■	■					
Data analytics - Compliance with the Procurement Rules	Contracts and Technical	20	In progress	■						
Right to Buy Conveyancing (new audit)	Corporate Services	5	In progress	■						
H&S assurance mapping	Corporate Services	20	In progress	■						
Agency Staff and Consultants (new audit)	Corporate Services	10	In progress	■						
KFC Cash and banking	Customer Services	15	In progress	■						
KFC General ledger	Corporate Services	12	Scoping							
Community Grants	Community Culture & Wellbeing	12								
Council housebuilding	Housing & Property	15								
Commercial rent and leases	Housing & Property	20								
Declarations of Interest	Corporate Services	5	Not an audit - advice instead							
<b>Defer - subject to approval</b>										
Climate emergency action plan	Economic Development & Partnerships	12								
Qualis Commercial	Chief Executive	12								
Corporate KPIs (underperformance)	Strategy Delivery & Performance	15								
Delivery of the Local Plan	Planning Services	15								
<b>TOTAL</b>								<b>0</b>	<b>15</b>	<b>4</b>

Key

c/f = carried forward

H&S = Health and Safety

KFC = Key Financial Controls